

Report Status (as at 31 December 2019)

Audit Opinion	Audit Area	High Risk Recommendations		Status (If not Final)
		Proposed	Agreed	
<i>Fundamental / High</i>				
Effective	Resources - Budgeting and forecasting 2019-20			Drafts Issued
	Main Accounting – In Year Testing			
	Treasury Management – In-year testing			
	Health and Safety - People and Communities			
Effective with opportunity for improvement	Health and Safety Framework			Drafts Issued
	Risk management arrangements 2018-19			
	Housing Rents			
	Creditors 2018-19			
	Payroll - In year testing 2018-19	1	1	
	Payroll Overpayments			
	Local Housing Allowance 2018-19			
	Information Governance			
	Ethics and values – Gifts and Hospitality			
	Ethics and Values - Business and Personal Interests			
	Health and Safety – Governance & Legal Services			
	Asset Management – Governance & Legal Services			
Insufficient with major improvement needed	Direct Payments	1		Drafts Issued
	Health and Safety – Waste Management	1		
<i>Medium</i>				
Effective	Eastern High - Counter-fraud arrangements			Draft Issued
Effective with opportunity for improvement	Atebion Solutions			Drafts Issued
	Sickness absence processes			
	Willows High School			
	Youth Innovation Grant			
	Communities - Partnership and collaborative governance			
	Stocktake observation - Brindley Road 2018-19			

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	Stocktake observation - Lamby Way 2018-19			
	Storey Arms			
	VFM – Agency workers, interims and consultants			
	Personal Review Process			
	Radyr High – ICT Governance			
	LLanishen High – ICT Governance			
	Fitzalan High – ICT Governance			
	Cardiff High – ICT Governance			
	Cathays High – ICT Governance			
	IT Governance			
	Cloud Computing			
	Joint Equipment Stores			
	Bulky Waste			
	Responsive Repairs			
	St. Teilo's – ICT Governance			
	VFM – Enforcement			
	VFM – Overtime			
	Into Work Grant Streams			
	Bishop of Llandaff – ICT Governance			
	Stock systems (Vectec)			
	Building Control			
	Bilingual Cardiff			
	Education – Commissioning of Independent Investigations			
	Music Service - expenditure review	1	1	
	St. Illtyd's RC High School			
	Regional Partnership Board			Drafts Issued
	Ysgol Plasmawr – ICT Governance			
Insurance				
Audit of Scrutiny Functons				
Learning Disabilities				

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	Identification and access management			
	Kitchener Primary - Counter-fraud arrangements			
	Hawthorn Primary - Counter-fraud arrangements			
	Allensbank Primary - Counter-fraud arrangements			
	The Hollies - Counter-fraud arrangements			
	Corpus Christi - Counter-fraud arrangements			
	Income collection in schools			
Insufficient with major improvement needed	County Hall Canteen	1	1	
	Ysgol Glantaf	2	2	
	Purchasing Cards (CTS)			
	Review of Gatehouse	2	2	
	Whitchurch High School	1	1	
	ICT governance in Schools – Cantonian			
	Windsor Clive Primary School	1	1	
	Stock systems (Tranman)	1	1	Draft Issued
	Strategic Estates – Processes	1		
	Deprivation of Liberty Safeguards			
	Roath Park Primary School	1		
	IT inventory and asset management	1		
	Film Unit	1		
	St. Francis	4		
	Domiciliary Care	1		
Unsatisfactory	St. Peter's R.C. Primary School	5	5	
	Music Service – income review follow up	3	3	
	Contracts in Waste Management	1	1	
	Fly Tipping	4		Draft Issued
Grants / Accounts / External Bodies				
Effective with opportunity for improvement	Education Improvement Grant 2017-18			
	Norwegian Church Trust 2018-19			
	Education Improvement Grant 2018-19			

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No assurance opinion given	Joint Committees - Prosiect Gwyrdd	Statement of Accounts Reviews / Certification / Support		
	Joint Committees – Port Health Authority			
	Joint Committees - Glamorgan Archives			
	Cardiff Further Education Trust Fund			
	St. Peter's Out of School Club and Governors' Fund			
	St. Peter's Private Fund			
<i>Other assignments</i>				
No assurance opinion given	Payroll – CRSA	CRSA		
	Treasury Management – CRSA			
	Counter-fraud in schools – CRSA			
	Main Accounting – CRSA			
	NNDR – CRSA			
	Creditor Payments & Processing - CRSA			
	Pensions and Investments – CRSA			
	Contract guidance for schools	Guidance		
	Education – income processes			
	Risk Based Verification			